





Page 1 of 1

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 2023!

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/082,600

02/22/2002

David W. Grawrock

42390P13484

**CONFIRMATION NO. 5737** 

**FORMALITIES LETTER** 

\*OC000000007731151\*

Jeffrey B. Huter BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP Seventh Floor 12400 Wilshire Boulevard Los Angeles, CA 90025-1026

Date Mailed: 03/27/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

COPY OF PAPERS

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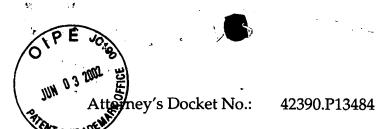
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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Patent

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

er: Not Yet Assigned
: 2184
OF PAPERS ALLY FILED

**Assistant Commissioner for Patents** 

**Box: Missing Parts** 

Washington, D.C. 20231

### RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION (FILING DATE GRANTED)

Sir:

In response to the Notice to File Missing parts of Application (Filing Date Granted) mailed March 27, 2002, please find enclosed:

- (1) a duly executed Declaration and Power of Attorney with respect to the above-referenced patent application;
- (2) a Fee Transmittal for FYI 2002;
- (3) a check in the amount of \$130.00 in payment of the surcharge of 37 C.F.R. § 1.16(e); and
  - a copy of the Notice to File Missing Parts of Application. **(4)**

Application No.: 10/082,600

-1-

Docket No.: 42390.P13484

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 5/14/02

John Patrick Ward Rog. No. 40,216

12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025-1026 (408) 720-8300

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

on\_

Date of Deposit

Name of Dison Mailing Corresponden

2000

Date

Docket No.: 42390.P13484



PTO/SB/17(09/00)
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE eduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **FEE TRANSMITTAL FOR FY 2002 TOTAL AMOUNT OF PAYMENT (\$)** \$130.00 Complete if Known: Application No. 10/082,600 Filing Date February 22, 2002 First Named Inventor David W. Grawrock Group Art Unit Unassigned Examiner Name <u>Unassigned</u> Attorney Docket No. 42390P13484 **METHOD OF PAYMENT** (check one) The Commissioner is hereby authorized to charge indicated fees and credit 1. [ X ] any over payments to: **Deposit Account Number** 02-2666 **Deposit Account Name** ORIGINALLY FILED [ X ] Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Applicant claims small entity status. See 37 CFR 1.27 X 2. Payment Enclosed: Check **Money Order** Other FEE CALCULATION 1. **BASIC FILING FEE** Large Entity **Small Entity** Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid 101 740 201 370 Utility application filing fee 106 330 206 165 Design application filing fee 107 510 207 255 Plant filing fee 108 740 208 370 Reissue filina fee 114 160 214 80 Provisional application filing fee SUBTOTAL (1) \$ 0 2. **EXTRA CLAIM FEES** Fee from **Extra Claims** below\_\_ Fee Paid **Total Claims** Independent Claims **Multiple Dependent** \*\*Or number previously paid, if greater; For Reissues, see below. Large Entity **Small Entity** Fee Fee Fee Fee Code (\$) Code (\$) **Fee Description** 103 18 203 Claims in excess of 20 102 84 202 42 Independent claims in excess of 3 104 280 204 Multiple dependent claim, if not paid 140 \*\*Reissue independent claims over original patent 109 84 209 42 \*\*Reissue claims in excess of 20 and over original patent 110 18 210 9 SUBTOTAL (2) \$ 0

10/04/01

# FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large	Entity	Small I	Entity		
Fee	Fee	Fee	Fee		
Code	(\$)	Code	(\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
099	8,800	099	8,800	Request for inter parties reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	<del></del>
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	·
140	110	240	55	Petition to revive – unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per	
				property (times number of properties)	
146	740	246	370	For filing a submission after final rejection	
				(see 37 CFR 1.129(a))	
148	110	248	55	Statutory Disclaimer	
149	740	249	370	For each additional invention to be examined	
İ				(see 37 CFR 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design	
				application	
195	300	195	300	Publication fee for early, voluntary, or normal pub.	
196	300	196	300	Publication fee for republication	
194	130	194	130	Request for voluntary publication or republication	
098	130	098	130	Processing fee under 37 CFR 1.17(i) (except provisionals)	
091	1,280	091	1,280	Acceptance of unintentionally delayed claim for priority	
Other	fee (specit	'y)			
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*Reduc	ed by Basic	: Filina Fee	Paid	SUBTOTAL (3) \$ <u>13</u>	0.00
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Reg. Number: <u>40,216</u> Telephone Number: <u>408-720-8300</u>